

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
114066-004

In Re Application Of: **Jarvis et al.**

JAN 21 2005  
U.S. PATENT & TRADEMARK OFFICE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/002,553	November 23, 2001	B. Nguyen	29159	3713	3797

Title: **FINANCIAL TRADING GAME**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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**(Under 37 CFR 1.97(b) or 1.97(c))**

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<b>10/002,553</b>	<b>November 23, 2001</b>	<b>B. Nguyen</b>	<b>29159</b>	<b>3713</b>	<b>3797</b>

Title: **FINANCIAL TRADING GAME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of **\$180.00** is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
  - Charge the amount of
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**January 18, 2005**

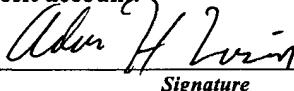
\_\_\_\_\_  
 (Date)

\_\_\_\_\_  
 Signature of Person Mailing Correspondence

**Heather Foster**

\_\_\_\_\_  
 Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
 Signature

Dated: **January 18, 2005**

**Adam H. Masia**

**Reg No. 35,602**

**Bell, Boyd & Lloyd, LLC**

**P.O. Box 1135**

**Chicago, Illinois 60690-1135**

**(312) 807-4284**

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jarvis et al.  
Appl. No.: 10/002,553  
Conf. No.: 3797  
Filed: November 23, 2001  
Title: FINANCIAL TRADING GAME  
Art Unit: 3713  
Examiner: B. Nguyen  
Docket No.: 0114066-004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent. This is being submitted in response to the Examiner's request for resubmission.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,511,781	April 30, 1996	Wood, et al.
5,890,963	April 6, 1999	Yen
6,398,218 B1	June 4, 2002	Vancura
6,413,160 B1	July 2, 2002	Vancura
6,413,161 B1	July 2, 2002	Baerlocher et al.
6,435,511 B1	August 20, 2002	Vancura et al.
6,439,995 B1	August 27, 2002	Hughs-Baird et al.
6,461,241 B1	October 8, 2002	Webb et al.
6,464,582 B1	October 15, 2002	Baerlocher et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,494,785 B1	December 17, 2002	Gerrard et al.
6,506,118 B1	January 14, 2003	Baerlocher et al.
6,514,141 B1	February 4, 2003	Kaminkow et al.
6,561,899 B2	May 13, 2003	Vancura
6,569,015 B1	May 27, 2003	Baerlocher et al.
6,575,830 B2	June 10, 2003	Baerlocher et al.
6,585,591 B1	July 1, 2003	Baerlocher et al.
6,595,854 B2	July 22, 2003	Hughs-Baird et al.
6,599,192 B1	July 29, 2003	Baerlocher et al.
6,620,045 B2	September 16, 2003	Berman et al.
6,632,141 B1	October 14, 2003	Webb et al.
6,648,754 B2	November 18, 2003	Baerlocher et al.
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2002/0142822 A1	October 3, 2002	Baerlocher et al.
2002/0151350 A1	October 17, 2002	Baerlocher et al.
2002/0155883 A1	October 24, 2002	Baerlocher
2002/0187827 A1	December 12, 2002	Blankstein

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
2003/0013514 A1	January 16, 2003	Cregan et al.
2003/0040355 A1	February 27, 2003	Baerlocher
2003/0040360 A1	February 27, 2003	Joseph E. Kaminkow
2003/0045344 A1	March 6, 2003	Webb et al.
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2003/0064795 A1	April 3, 2003	Baerlocher et al.
2003/0087693 A1	May 8, 2003	Baerlocher et al.
2003/0090063 A1	May 15, 2003	Jarvis et al.

### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 1298609 A2	April 2, 2003	Europe
EP 1175928 A2	July 7, 2004	Europe

### **OTHER DOCUMENTS**

A Vamp for All Seasons Article, written by Strictly Slots, published in 2002.

American Bandstand Article, written by Strictly Slots, published in 2002.

Cash for Life – Offer Bonus Advertisement/Lots a Loot Advertisement/Take It or Leave It Advertisement, written by Bally Gaming, published in 2003.

Cash for Life – Triple Spin Bonus Article, written by Strictly Slots, published in 2003.

Deep Pockets Advertisement, written by IGT, published in 2002.

Deep Pockets Article, written by Strictly Slots, published in 2002.

Double Top Dollar Advertisement, written by IGT, published in 2003.

Hollywood Advertisement, written by Shuffle Master Gaming, published in 2001.

King Cash Slots Advertisement, written by IGT, published in 2003.

Press Your Luck Advertisement, written by Shuffle Master Gaming, published in 2000.

Press Your Luck Article, written by Strictly Slots, published in 2000.

Price is Right –Cliff Hangers Advertisement, written by IGT, published in 2001.

Psycho Cash Beast Advertisement, written by IGT, published in 1999.

Three Wishes Article, written by Strictly Slots, published in 2000.

Applicants look forward to early and favorable consideration of this matter.

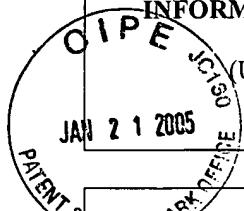
Respectfully submitted,

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Date: January 18, 2005

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <small>(Use several sheets if necessary)</small>		Atty Docket No. 0114066-004	Application No. 10/002,553
PTO Form 1449		Applicant Jarvis et al.	
		Filing Date November 23, 2001	Group 3713



<b>U.S. PATENT DOCUMENTS</b>							
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate	
	5,511,781	April 30, 1996	Wood, et al.				
	5,890,963	April 6, 1999	Yen				
	6,398,218 B1	June 4, 2002	Vancura				
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Examiner:	Date Considered:
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\*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary) PTO Form 1449		Atty Docket No. 0114066-004	Application No. 10/002,553
		Applicant Jarvis et al.	
		Filing Date November 23, 2001	Group 3713

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<small>PTO Form 1449</small>		Applicant Jarvis et al.	
<small>JAN 21 2005</small>		Filing Date November 23, 2001	Group 3713

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